



12-12-02

681035

PTO/SB/21 (08-00)

Approved for use through 10/31/02 OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/753,350
		Filing Date	December 29, 2000
		First Named Inventor	Stephen M. COUTTS
		Group Art Unit	1635
		Examiner Name	R. Schnizer
Total Number Of Pages In This Submission	16 + 99 REFERENCES	Attorney Docket No.	252312005706

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449 + 2 copies (12 Pages) 99 References Return postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement (3 Pages)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

RECEIVED  
DEC 13 2002  
TECH CENTER 1600/2800

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 Madeline I. Johnston (Registration No. 36,174)
Signature	
Date	December 10, 2002

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No.: EV093217740US

Date of Deposit: December 10, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



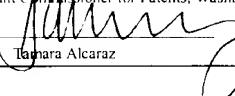
PATENT  
Docket No. 252312005706

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No.: EV093217740US

Date of Deposit: December 10, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Stephen M. COUTTS, et al.

Serial No.: 09/753,350

Filing Date: December 29, 2000

For: CONJUGATES OF T CELL EPITOPE  
DEFICIENT IMMUNOGEN ANALOGS  
FOR HUMORAL ANERGY AND  
CHEMICALLY DEFINED NON-  
POLYMERIC VALENCY PLATFORM  
MOLECULES (AS AMENDED)

Examiner: R. Schnizer

Group Art Unit: 1635

412/K.1  
12/17/02  
TECH CENTER 1600/2900

RECEIVED  
DEC 13 2002

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - A fee is required. A check in the amount of is enclosed.
  - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

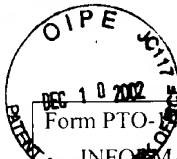
In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 252312005706. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 10, 2002

Respectfully submitted,

By:   
Madeline I. Johnston  
Registration No. 36,174

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5840  
Facsimile: (650) 494-0792



Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
*(Use several sheets if necessary)*

Docket Number 252312005706

Application Number 09/753,350

Applicant

Stephen M. COUTTS, et al.

Filing Date December 29, 2000

Group Art Unit 1635

Mailing Date December 10, 2002

**U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/18/1979	4,179,337	Davis et al.			
	2.	04/14/1981	4,261,973	Lee et al.			
	3.	03/22/1988	4,732,863	Tomasi et al.			
	4.	08/23/1988	4,766,106	Katre et al.			
	5.	04/17/1990	4,917,888	Katre et al.			
	6.	10/01/1991	5,053,423	Liu			
	7.	06/16/1992	5,122,614	Zalipsky			
	8.	06/30/1992	5,126,131	Dintzis et al.			
	9.	11/10/1992	5,162,515	Conrad et al.			
	10.	04/27/1993	5,206,344	Katre et al.			
	11.	08/24/1993	5,238,940	Liu et al.			
	12.	12/07/1993	5,268,454	Barstad et al.			
	13.	01/04/1994	5,276,013	Conrad et al.			
	14.	10/25/1994	5,359,030	Ekwuribe			
	15.	09/05/1995	5,447,722	Lang et al.			
	16.	10/03/1995	5,455,027	Zalipsky et al.			
	17.	03/18/1997	5,612,460	Zalipsky			
	18.	04/08/1997	5,618,528	Cooper et al.			
	19.	07/01/1997	5,643,575	Martinez et al.			
	20.	07/22/1997	5,650,234	Dolence et al.			
	21.	10/28/1997	5,681,811	Ekwuribe			
	22.	03/24/1998	5,730,990	Greenwald et al.			
	23.	07/14/1998	5,780,319	Maxfield Wilson et al.			
	24.	11/24/1998	5,840,900	Greenwald et al.			
	25.	01/12/1999	5,859,213	Stefas et al.			
	26.	02/23/1999	5,874,500	Rhee et al.			
	27.	03/09/1999	5,880,131	Greenwald et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

 RECEIVED  
 TECH CENTER 1600  
 DEC 13 2002

<p>Form PTO-144 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)</p>				Docket Number 252312005706	Application Number 09/753,350
				Applicant	
				Stephen M. COUTTS, et al.	
				Filing Date December 29, 2000	Group Art Unit 1635
				Mailing Date December 10, 2002	

28.	05/11/1999	5,902,588	Greenwald et al.			
29.	07/06/1999	5,919,455	Greenwald et al.			
30.	10/12/1999	5,965,119	Greenwald et al.			
31.	10/12/1999	5,965,566	Greenwald et al.			
32.	11/16/1999	5,985,263	Lee et al.			
33.	12/07/1999	5,998,223	Matsuura et al.			
34.	04/11/2000	6,048,529	Atassi et al.			
35.	04/18/2000	6,051,648	Rhee et al.			
36.	05/09/2000	6,060,056	Coutts et al.			
37.	06/20/2000	6,077,939	Wei et al..			
38.	08/22/2000	6,106,828	Bisgard-Frantzen et al.			
39.	09/05/2000	6,113,906	Greenwald et al.			
40.	10/03/2000	6,127,355	Greenwald et al.			
41.	11/28/2000	6,153,655	Martinez et al.			
42.	01/23/2001	6,177,087	Greenwald et al.			
43.	01/30/2001	6,180,095	Greenwald et al.			
44.	04/10/2001	6,214,966	Harris			
45.	06/26/2001	6,251,382	Greenwald et al.			
46.	07/10/2001	6,258,351	Harris			
47.	08/02/2001	US 2001/0011115	Harris et al.			
48.	09/04/2001	6,284,246	Weisgerber et al.			
49.	09/13/2001	US 2001/0021763	Harris			
50.	10/04/2001	US 2001/0027212	Bentley et al.			
51.	10/16/2001	6,303,569	Greenwald et al.			
52.	10/18/2001	US 2001/0031873	Greenwald et al.			
53.	11/29/2001	US 2001/0046481	Bentley et al.			
54.	12/13/2001	US 2001/0051351	Racis			
55.	01/17/2002	US 2002/0006898	Greenwald et al.			
56.	01/24/2002	US 2002/0009426	Greenwald et al.			
57.	01/31/2002	US 2002/0013266	Bentley et al.			
58.	02/14/2002	US 2002/0019340	Bentley et al.			

RECEIVED  
DEC 13 2001  
TECH CENTER 100-2900

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1649

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION  
*(Use several sheets if necessary)*

Docket Number 252312005706

Application Number 09/753,350

Applicant

Stephen M. COUTTS, et al.

Filing Date December 29, 2000

Group Art Unit 1635

Mailing Date December 10, 2002

59.	02/28/2002	US 2002/0025321	Shoenfeld et al.				
60.	03/07/2002	US 2002/0028912	Yamasaki et al.				
61.	03/26/2002	6,362,254	Harris et al.				
62.	03/28/2002	US 2002/0037949	Harris et al.				
63.	04/02/2002	6,365,173	Domb et al.				
64.	04/04/2002	US 2002/0040076	Harris et al.				
65.	04/23/2002	6,376,604	Kozlowski				
66.	05/02/2002	US 2002/0052009	Hornauer et al.				
67.	05/02/2002	US 2002/0052430	Harris et al.				
68.	05/02/2002	US 2002/0052443	Greenwald et al.				
69.	05/28/2002	6,395,266	Martinez et al.				
70.	07/04/2002	US 2002/0086939	Kozlowski				
71.	08/15/2002	US 2002/0110535	Jones				
72.	10/01/2002	6,458,953	Jones				

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES      NO
	73.	12/21/1998	AU 744085	Australia			
	74.	01/04/1995	EP 0 632 082	Europe			
	75.	10/03/1991	WO 91/14456	WIPO			
	76.	05/26/1995	WO 95/14231	WIPO			Abstract
	77.	02/15/1996	WO 96/04006	WIPO			
	78.	08/21/1997	WO 97/30148	WIPO			
	79.	02/12/1998	WO 98/05363	WIPO			
	80.	07/30/1998	WO 98/32466	WIPO			
	81.	05/27/1999	WO 99/25384	WIPO			
	82.	09/16/1999	WO 99/45964	WIPO			
	83.	12/16/1999	WO 99/64595	WIPO			
	84.	01/13/2000	WO 00/01729	WIPO			
	85.	04/13/2000	WO 00/20019	WIPO			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

RECEIVED  
TECH CENTER 1600  
DEC 13 2002

Form PTO-149

DEC 10 2002

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 252312005706

Application Number 09/753,350

Applicant

Stephen M. COUTTS, et al.

Filing Date December 29, 2000

Group Art Unit 1635

Mailing Date December 10, 2002

86.	11/09/2000	WO 00/66715	WIPO				
87.	12/14/2000	WO 00/75105	WIPO				
88.	03/15/2001	WO 01/18541	WIPO				
89.	04/19/2001	WO 01/26692	WIPO				
90.	10/25/2001	WO 01/79449	WIPO				
91.	11/22/2001	WO 01/88088	WIPO				
92.	12/13/2001	WO 01/93914	WIPO				
93.	02/07/2002	WO 02/09776	WIPO				
94.	03/14/2002	WO 02/20033	WIPO				
95.	04/04/2002	WO 02/26772	WIPO				
96.	04/04/2002	WO 02/27315	WIPO				
97.	04/04/2002	WO 02/27317	WIPO				
98.	05/23/2002	WO 02/40058	WIPO				

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	99.	Blank, M. et al. (1999). "Prevention of Experimental Antiphospholipid Syndrome and Endothelial Cell Activation by Synthetic Peptides," <i>Proc. National Acad. Sci USA</i> 96:5164-5168.

RECEIVED  
DEC 18 2002  
TECH CENTER 1600/2900

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.